# **Mutual Coupling in Antenna Arrays**

#### **1** Introduction

The **electromagnetic interaction** between the antenna elements in an antenna array is called **mutual coupling**. By its nature, mutual coupling exhibits differently in transmitting and receiving antenna arrays and therefore has to be treated differently.

The effect of mutual coupling is serious if the element spacing is small. It will affect the antenna array mainly in the following ways:

- 1. change the array radiation pattern
- 2. change the array manifold (the received element voltages)
- 3. change the matching characteristic of the antenna elements (change the input impedances)

We will mainly study the first two effects in this chapter. We will consider the change of array radiation pattern in a transmitting antenna array while study the change of the array manifold in a receiving antenna array.

#### 2 Mutual Coupling in Transmitting Antenna Arrays

In a transmitting antenna array, the mutual coupling effect renders the pattern multiplication principle being inapplicable for obtaining the array radiation pattern. This is because it results in the element patterns being not all the same.

We study an example of a two-element dipole array. We characterize the mutual coupling effect using the **mutual impedance** (the conventional mutual impedance).

#### **2.1 Definition of the Mutual Impedance**

Consider two *transmitting* antennas (such as dipoles) as shown on next page. They are separated by a distance of d and the excitation voltage sources,  $V_{s1}$  and  $V_{s2}$ , have a phase difference of  $\beta$  but an equal magnitude. Hence if there is no mutual coupling effect, the excitation currents also differ by a phase difference of  $\beta$  and have an equal magnitude. When the mutual coupling effect is taken into account, the two coupled antennas can be modelled as two equivalent circuits as shown on page 6.



Now because of the mutual coupling effect, there are two additional excitation sources (the controlled voltage sources) in the equivalent circuits. These controlled voltage sources are to model the coupled voltages induced by the currents on the other antennas (see next page).



$$Z_{12} = \text{mutual impedance with antenna 2 excited}$$

$$= \frac{V_{12}}{I_2}$$

$$= \frac{\text{coupled voltage across antenna 1's open-circuit terminal}}{\text{excitation current at antenna 2's shorted terminal}}$$

$$= \frac{V_{oc12}}{I_2} \Big|_{I_1=0, V_{s1}=0}$$

$$V_{oc12} \downarrow \pm a_b^a \qquad I_2 \textcircled{order}_d^c$$
(1)

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The mutual impedance can be either measured or theoretically calculated. For measurement, usually the two antennas in the array are treated as a two-port network and its *s* parameters,  $S_{11}$ ,  $S_{12}$ ,  $S_{21}$ , and  $S_{22}$ , are measured. The *z* parameters,  $Z_{11}$ ,  $Z_{12}$ ,  $Z_{21}$ , and  $Z_{22}$ , are then obtained from the *s* parameters as follows:



where  $Z_0$  is the system impedance. The  $Z_{12}$  and  $Z_{21}$  of the *z* parameters are then the mutual impedances.

Alternatively, the mutual impedance can be measured directly using the method described in ref. [1]. A rigorous theoretical method for the calculation of the mutual impedance can be found in ref. [2].

For an *N*-element antenna array, the mutual impedances can be obtained by considering two antennas at a time. The total mutual impedances of the array,  $Z_{ij}$ (i,j=1,2,...,N) will then be the set of two-antenna mutual impedances for all possible pair of antennas in the array. The following figure is an example which shows the **conventional mutual impedance**  $Z_{12}$  between two monopole antennas against their separation at a frequency of 2.4 GHz. The dimensions of the monopole antennas are: monopole length = 3 cm (0.24  $\lambda$  at 2.4 GHz), radius of the monopole wires = 0.3 mm. Antenna 1 is open-circuited while antenna 2 is connected to a current source (with no terminal load).



#### 2.2 Effect on the Array Radiation Pattern

Using the mutual impedance, the **coupled voltages**  $V_{12}$  and  $V_{21}$  can be expressed as follows:

$$V_{12} = Z_{12}I_2 \qquad V_{21} = Z_{21}I_1 \qquad (4)$$

 $I_1$  and  $I_2$  are the **actual terminal currents** at the antennas when there is mutual coupling effect. From the antenna equivalent circuits,

$$I_{1} = \frac{V_{s1} - V_{12}}{Z_{g1} + Z_{11}} \qquad I_{2} = \frac{V_{s2} - V_{21}}{Z_{g2} + Z_{22}} \tag{5}$$

 $I_{s1}$  and  $I_{s2}$  are the terminal currents at the antennas when there is no mutual coupling effect.

$$I_{s1} = \frac{V_{s1}}{Z_{g1} + Z_{11}} \qquad \qquad I_{s2} = \frac{V_{s2}}{Z_{g2} + Z_{11}} \tag{6}$$

Our aim is to express  $I_1$  and  $I_2$  in terms of  $I_{s1}$  and  $I_{s2}$ .

$$I_{1} = \frac{V_{s1} - V_{12}}{Z_{g1} + Z_{11}} \qquad I_{2} = \frac{V_{s2} - V_{21}}{Z_{g2} + Z_{22}}$$
$$= I_{s1} - \frac{I_{2}Z_{12}}{Z_{g1} + Z_{11}} \qquad I_{2} = I_{s2} - \frac{I_{1}Z_{21}}{Z_{g2} + Z_{22}} \qquad (7)$$

From these two relations, we can find:



That is:

$$I_{1} = \frac{1}{D} (I_{s1} - Z_{12}'I_{s2}) \qquad \qquad I_{2} = \frac{1}{D} (I_{s2} - Z_{21}'I_{s1}) \qquad (9)$$



Now if we want to find the array pattern **E** on the horizontal plane ( $\theta = \pi/2$ ) with mutual coupling effect, then **E** is just equal to the array factor.

Vector  
magnitude, not  
absolute value  
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$$\mathbf{E} = \mathbf{AF} = \frac{1}{I_1} \begin{bmatrix} I_1 + I_2 e^{jkd\cos\phi} \end{bmatrix}$$
(13)  
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$$\|\mathbf{E}\| = \frac{1}{I_{1}} \Big[ I_{1} + I_{2} e^{jkd\cos\phi} \Big]$$

$$= \frac{1}{I_{1}D} \Big[ (I_{s1} - Z_{12}'I_{s2}) + (I_{s2} - Z_{21}'I_{s1}) e^{jkd\cos\phi} \Big]$$

$$= \frac{1}{I_{1}D} \Big[ (I_{s1} + I_{s2} e^{jkd\cos\phi}) - Z_{12}' (I_{s2} + I_{s1} e^{jkd\cos\phi}) \Big] \quad (\text{with } Z_{12}' = Z_{21}')$$

$$= \frac{I_{s1}}{I_{1}D} \Big[ (1 + e^{j\beta} e^{jkd\cos\phi}) - Z_{12}' (e^{j\beta} + e^{jkd\cos\phi}) \Big] \quad (\text{with } \frac{I_{s2}}{I_{s1}} = e^{j\beta})$$

$$= \frac{I_{s1}}{I_{1}D} \underbrace{ \{ [1 + e^{j(kd\cos\phi+\beta)}] - Z_{12}' e^{j\beta} [1 + e^{j(kd\cos\phi-\beta)}] \}}_{\text{original pattern}} - Z_{12}' e^{j\beta} \underbrace{ [1 + e^{j(kd\cos\phi-\beta)}] }_{\text{additional pattern}} \Big]$$
(14)

We see that the array pattern now consists of two parts: the original array pattern plus an additional pattern:

$$Z_{12}^{\prime}e^{j\beta}\left[1+e^{j(kd\cos\phi-\beta)}\right]$$
(15)

which has a reverse current phase  $-\beta$  and a modified amplitude with a multiplication of a complex number  $Z'_{12}e^{j\beta}$ . Note that all parameters in the above formula can be calculated except  $I_1$  which will be removed after normalization. Normalization of the above formula can only be done when its maximum value is known, for example by numerical calculation.

### Example 1

#### Absolute value

Find the normalized array pattern  $|\mathbf{E}_n|$  on the horizontal plane  $(\theta = \pi/2)$  of a two-monopole array with the following parameters with mutual coupling taken into account:

$$I_{s1} = 1, \quad I_{s2} = e^{j\beta}, \quad |I_{s1}| = |I_{s2}| = 1, \quad \beta = 150^{\circ}$$
  
 $d = \lambda/4, \quad \ell = \lambda/4$ 

$$Z_{12} = Z_{21} = 21.8 - j21.9 \Omega$$

$$Z_{11} = Z_{22} = 47.3 + j22.3 \Omega$$

$$Z_{g1} = Z_{g2} = 50 \Omega$$

$$\ell$$

$$I_{s1}$$

$$I_{s2}$$

### Solution

As the required array pattern  $|\mathbf{E}_n|$  is on the horizontal plane, it is equal to the normalized array factor  $|\mathbf{AF}_n|$ .

$$\angle I_{s1} = 0^{\circ}, \quad \angle I_{s2} = \beta = 150^{\circ} = 2.62 \text{ rad}$$

$$kd = \frac{2\pi}{\lambda}\frac{\lambda}{4} = \frac{\pi}{2}$$

 $I_{s1} = 1, \quad I_{s2} = e^{j\beta}$ 

 $|I_{s1}| = |I_{s2}| = 1$ 

$$Z_{12}' = \frac{Z_{12}}{Z_{11} + Z_{g1}} = \frac{Z_{21}}{Z_{22} + Z_{g2}} = 0.16 - j0.26$$

$$D = 1 - \frac{Z_{12}Z_{21}}{\left(Z_{11} + Z_{g1}\right)\left(Z_{22} + Z_{g2}\right)} = 1.042 + j0.09$$

$$\mathbf{E} = |\mathbf{AF}| = \left| \frac{I_{s1}}{I_1 D} \left\{ \left[ 1 + e^{j(kd\cos\phi + \beta)} \right] - Z_{12}' e^{j\beta} \left[ 1 + e^{j(kd\cos\phi - \beta)} \right] \right\} \right|$$
$$= \left| \frac{0.95 - j0.08}{I_1} \left[ 1 + e^{j2.62} e^{j(\pi/2)\cos\phi} - (0.16 - j0.26) e^{j2.62} \left( 1 + e^{-j2.62} e^{j(\pi/2)\cos\phi} \right) \right] \right|$$
$$= \left| \frac{0.94 - j0.37}{I_1} \right| \left| 1 + (-1.14 + j0.40) e^{j(\pi/2)\cos\phi} \right|$$

The pattern of  $f = |1 + (-1.14 + j0.40)e^{j(\pi/2)\cos\phi}|$  is shown on next page.



#### Normalization

The pattern of *f* attains the maximum value when  $\phi = 180^{\circ}$ . When  $\phi = 180^{\circ}$ ,

$$\begin{aligned} \left| \mathbf{E} \right|_{\phi=180^{\circ}} \\ &= \left| \frac{0.94 - j0.37}{I_1} \right| \left| 1 + (-1.14 + j0.40) e^{j(\pi/2)\cos\phi} \right|_{\phi=180^{\circ}} \\ &= \frac{1.83}{|I_1|} \end{aligned}$$

Hence we normalize  $|\mathbf{E}|$  by this factor  $(1.83/|I_1|)$  to get:

$$|\mathbf{E}_{n}| = \frac{\left|\frac{0.94 - j0.37}{I_{1}}\left[1 + (-1.14 + j0.40)e^{j(\pi/2)\cos\phi}\right]\right|}{\frac{1.83}{|I_{1}|}}$$
$$= 0.52\left|1 + (-1.14 + j0.40)e^{j(\pi/2)\cos\phi}\right|$$

## The polar plot of $|\mathbf{E}_n|$ is shown on next page.



The case when there is no mutual coupling is shown below for comparison.

$$|\mathbf{E}_{n}|_{\text{no mutual coupling effect}} = \frac{1}{\Gamma} |1 + e^{j\beta} e^{jkd\cos\phi}|$$
  
where  $\Gamma$  is a constant to make  
the largest value of  $|AF_{n}|$   
equal to one ( $\Gamma = 1.73$ ).

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#### 2.3 Effect on the Array Manifold

The total voltages (due to the excitation sources and the coupled voltages)  $V_{tot1}$  and  $V_{tot2}$  across the *whole lengths* of the two antenna elements can be expressed as the summation of the excitation voltage  $V_{s1}$  and  $V_{s2}$  due to the excitation sources alone and the coupled voltages  $V_{12}$  and  $V_{21}$  as follows:

$$V_{tot1} = V_{s1} - V_{12} \tag{16}$$

$$V_{tot2} = V_{s2} - V_{21} \tag{17}$$

The total voltages  $V_{tot1}$  and  $V_{tot2}$  can be expressed in terms of the terminal currents  $I_1$  and  $I_2$ .

$$V_{tot1} = I_1 \left( Z_{g1} + Z_{11} \right) \tag{18}$$

$$V_{tot2} = I_2 \left( Z_{g2} + Z_{22} \right) \tag{19}$$

From (16) & (17),

$$V_{s1} = V_{tot1} + V_{12} = V_{tot1} + I_2 Z_{12}$$
(20)

$$V_{s2} = V_{tot2} + V_{21} = V_{tot2} + I_1 Z_{21}$$
(21)

Putting (18) & (19) into (20) & (21), we have

$$V_{s1} = V_{tot1} + \frac{Z_{12}}{\left(Z_{g2} + Z_{22}\right)} V_{tot2}$$
(22)

$$V_{s2} = V_{tot2} + \frac{Z_{21}}{\left(Z_{g1} + Z_{11}\right)} V_{tot1}$$
(23)

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Hence when there is mutual coupling, the total excitation voltages for the two antennas,  $V_{tot1}$  and  $V_{tot2}$ , are related to the excitation voltages without mutual coupling,  $V_{s1}$  and  $V_{s2}$ , by the following matrix formula:



For an N-element transmitting antenna array, the uncoupled array manifold  $V_{s1}$ ,  $V_{s2}$ , ..., and  $V_{sN}$  (the uncoupled excitation voltages) is related to the coupled array manifold  $V_{tot1}$ ,  $V_{tot2}$ , ..., and  $V_{totN}$  (contaminated with the coupled voltages) by the following formula:  $V_{s1} \\ V_{s1} \\ V_{s2} \\ \vdots \\ = \begin{bmatrix} 1 & \frac{Z_{12}}{Z_{g2} + Z_{22}} & \cdots & \frac{Z_{1N}}{Z_{gN} + Z_{NN}} \\ \frac{Z_{21}}{Z_{g1} + Z_{11}} & 1 & \cdots & \frac{Z_{2N}}{Z_{gN} + Z_{NN}} \\ \vdots & \vdots & \ddots & \vdots \\ \end{bmatrix} \begin{bmatrix} V_{tot1} \\ V_{tot2} \\ \vdots \\ \vdots \\ \end{bmatrix}$  $\begin{bmatrix} V_{s2} \\ \vdots \\ V_{sN} \end{bmatrix} = \begin{bmatrix} \overline{Z_{g1} + Z_{11}} & 1 \\ \vdots & \vdots \\ \frac{Z_{N1}}{Z_{g1} + Z_{11}} & \frac{Z_{N2}}{Z_{g2} + Z_{22}} \end{bmatrix}$  $V_{totN}$ Hon Tat Hui 29 Mutual Coupling in Antenna Arrays

### **3 Mutual Coupling in Receiving Antenna Arrays**

In a receiving antenna array, strictly speaking, we cannot use the conventional mutual impedances to measure the mutual coupling effect (see refs. [3]-[7]). This is because the excitation source for a receiving antenna array is at the far-field zone and not at the terminals of the antenna elements. Usually the current distributions on the antenna elements (which cause the mutual coupling) in a receiving antenna array are different from those on the antenna elements in a transmitting antenna array.

Hence we need to re-define the mutual impedances in a receiving antenna array as follows:

#### **3.1 Definition of the Receiving Mutual Impedance**



The scenario for defining the receiving mutual impedance





Note that in general, the definition of the receiving mutual impedance implies that the receiving mutual impedance is dependent on the direction of the plane wave, which is used as the excitation source. But for omni-directional antennas such as dipole and monopole antennas, the receiving mutual impedance is independent of the azimuth angle of the excitation plane wave.

Furthermore, for a general antenna array, as the excitation source is not applied to the feeding ports of the antennas directly (the antennas being excited by an external plane wave source), reciprocity of the receiving mutual impedances does not hold and hence  $Z_t^{ij} \neq Z_t^{ji}$  in general.

# **3.2 Measurement of the Receiving Mutual Impedance**

- The receiving mutual impedance can be either measured or theoretically calculated. For measurement, the antenna array has to be placed inside an anechoic chamber and its received power wave (the  $S_{12}$  parameter) is measured by a VNA machine. As an example, for a typical twoelement monopole antenna array, the following procedure is used:
- 1. Use the transmitting antenna in the anechoic chamber as the plane wave source and place the monopole array at a fixed position (not rotating). (See the setup on next page.)



#### **The measurement of the receiving mutual impedances**

- 2. Measure  $S_{21}$  at monopole 1's terminal with monopole 2's terminal connected to a terminal load. Denote this as  $S_{21,1}$ .
- 3. Measure  $S_{21}$  at monopole 2's terminal with monopole 1's terminal connected to a terminal load. Denote this as  $S_{21 \ 2}$ .
- 4. Measure  $S_{21}$  at monopole 1's terminal with monopole 2 removed (taken away from the array). Denote this as  $S'_{21 \ 1}$ .
- 5. Measure  $S_{21}$  at monopole 2's terminal with monopole 1 removed (taken away from the array). Denote this as  $S'_{21_2}$ .

Throughout the measurement, the relative positions of the two monopoles must not be changed with respect to the transmitting antenna as this change will alter the phase of  $S_{12}$ . By definition,

$$S_{21} = \frac{\beta}{\alpha} \tag{28}$$

where  $\alpha$  is the square root of the power input to the transmitting antenna and  $\beta = V/\sqrt{Z_0}$  is the square root of the power received by a monopole with V being the terminal voltage of the monopole and  $Z_0$  being the system impedance. Both  $\alpha$  and  $\beta$  are complex values with magnitudes and phases.

#### Now convert the measured $S_{21}$ s into relative voltages as:

 $V_1$  = total received terminal voltage on monopole 1

$$=S_{21\_1}\alpha\sqrt{Z_0} \tag{29}$$

 $V_2$  = total received terminal voltage on monopole 2

$$=S_{21_2}\alpha\sqrt{Z_0}\tag{30}$$

 $U_1$  = isolation voltage on monopole 1

$$=S_{21_{1}}'\alpha\sqrt{Z_{0}}$$
(31)

$$U_2$$
 = isolation voltage on monopole 2  
=  $S'_{21_2} \alpha \sqrt{Z_0}$ 

Then the measured receiving mutual impedances are obtained as follows:

$$Z_{t}^{12} = -\frac{V_{1} - U_{1}}{I_{2}} = \frac{V_{1} - U_{1}}{V_{2}/Z_{0}} = \frac{S_{21\_1} - S_{21\_1}'}{S_{21\_2}}Z_{0}$$
(33)  
$$Z_{t}^{21} = -\frac{V_{2} - U_{2}}{I_{1}} = \frac{V_{2} - U_{2}}{V_{1}/Z_{0}} = \frac{S_{21\_2} - S_{21\_2}'}{S_{21\_1}}Z_{0}$$
(34)

For an *N*-element antenna array, the mutual impedances can be measured by considering two antennas at a time using the above procedure and repeating it for all the possible pairs of elements in the array. The following figure is an example which shows the **receiving mutual impedance**  $Z_t^{12}$  between two monopole antennas against their separation at a frequency of 2.4 GHz. The dimensions of the monopole antennas are: monopole length = 3 cm (0.24  $\lambda$  at 2.4 GHz), radius of the monopole wires = 0.3 mm. Each monopole antenna is connected to a terminal load of  $Z_L = 50 \Omega$ . The external plane wave used to excite the array comes from the horizontal direction with  $\theta = 90^{\circ}$ and an arbitrary value of  $\phi$  as the received currents on the two monopoles are independent of  $\phi$ .



#### **3.3 Effect on the Array Manifold**

Using the receiving mutual impedances, the total received voltages  $V_1$  and  $V_2$  (contaminated with the coupled voltages) on the antenna elements can be expressed as the summation of the isolated terminal voltages  $U_1$  and  $U_2$  (without coupled voltages) and the coupled voltages  $V_{12}$  and  $V_{21}$  as follows:

$$V_{1} = U_{1} + V_{12} = U_{1} - Z_{t}^{12}I_{2} = U_{1} + Z_{t}^{12}\frac{V_{2}}{Z_{L}}$$

$$V_{2} = U_{2} + V_{21} = U_{2} - Z_{t}^{21}I_{1} = U_{2} + Z_{t}^{21}\frac{V_{1}}{Z_{L}}$$
(35)
(36)

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For an *N*-element receiving antenna array, the uncoupled array manifold  $U_1$ ,  $U_2$ , ..., and  $U_N$  (the isolation terminal voltages) can be calculated from the received array manifold  $V_1$ ,  $V_2$ , ..., and  $V_N$  (contaminated with the coupled voltages) using the following formula:



# Example 2

A seven-element monopole array is used to detect the directions of two incoming signals (plane waves) at  $\phi_1 =$ 62.4° and  $\phi_2 = 111.9^\circ$ , respectively. The received antenna terminal voltages,  $V_1$ ,  $V_2$ ,  $V_3$ ,  $V_4$ ,  $V_5$ ,  $V_6$ , and  $V_7$  are analyzed by the direction-finding algorithm, MUSIC. The result is shown on next page. Three MUSIC power spectra are obtained with the uncoupled voltages determined by three different methods: (i) using the uncoupled voltages calculated by the receiving mutual impedances, (ii) using the uncoupled voltages calculated by the (conventional) mutual impedances, and (iii) using the coupled voltages directly. The average SNR of the received voltages is 39.1 dB.



From this figure, it shows that the case of using the receiving mutual impedances (case (i)) for calculating the uncoupled voltages produces the most accurate direction finding results. As direction finding arrays are typical receiving arrays, this example tells that the antenna mutual coupling effect in a receiving antenna array has to be analyzed by using the receiving mutual impedances and not the (conventional) mutual impedances.

(For more details on this example, please see ref. [8].)

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